

FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE
(REV. 12-2004)

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER

287.00060101

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/522428INTERNATIONAL APPLICATION NO.
PCT/US03/24090INTERNATIONAL FILING DATE
1 August 2003PRIORITY DATE CLAIMED
1 August 2002

TITLE OF INVENTION

COATING COMPOSITION FOR METAL SUBSTRATES

APPLICANT(S) FOR DO/EO/US

PAREKH, Girish G.; BARTLEY, III, George K.; PAULSON, Greg M.; FUCHS, Paul E.; RARDON, Daniel E.; and O'BRIEN, Robert M.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☒ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 23 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☐ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A power of attorney and/or change of address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
20. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
22. ☒ Express Mail Label No. **EV405493039US**
23. ☒ Other items or information:

Application Data Sheet (4 pages), Transmittal Letter (1 page, in triplicate), itemized return stamped postcard

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| | | | | | |
|--|--------------|--|---------------------|---|-------------------|
| U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/522428 | | INTERNATIONAL APPLICATION NO. PCT/US03/24090 | | ATTORNEY'S DOCKET NUMBER 287.00060101 | |
| 24. The following fees are submitted: | | | | Applicant use | Office use |
| <input checked="" type="checkbox"/> a) Basic national fee \$300.00 | | | | \$ 300.00 | |
| <input checked="" type="checkbox"/> b) Examination fee \$200.00 | | | | \$ 200.00 | |
| <input checked="" type="checkbox"/> c) Search fee \$500.00 | | | | \$ 500.00 | |
| TOTAL OF ABOVE CALCULATIONS = \$1000.00 | | | | \$ 1,000.00 | |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | |
| Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof (round up to a whole) | RATE | | |
| 32 - 100 = | -68 /50 = | 0 | x \$250.00 | \$ 0.00 | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)). | | | | \$ | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | | |
| Total claims | 25 - 20 = | 5 | x \$50.00 | \$ 250.00 | |
| Independent claims | 3 - 3 = | 0 | x \$200.00 | \$ 0.00 | |
| MULTIPLE DEPENDENT CLAIMS (if applicable) <input type="checkbox"/> + \$360.00 | | | | \$ 0.00 | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$ 1,250.00 | |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. | | | | \$ 0.00 | |
| SUBTOTAL = | | | | \$ 1,250.00 | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | \$ 0.00 | |
| TOTAL NATIONAL FEE = | | | | \$ 1,250.00 | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property + | | | | \$ 0.00 | |
| TOTAL FEES ENCLOSED = | | | | \$ 1,250.00 | |
| Amount to be refunded: | | | | \$ | |
| Amount to be charged: | | | | \$ | |
| a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed. b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>134895</u> in the amount of <u>\$1,250.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>134895</u> . A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. | | | | | |
| SEND ALL CORRESPONDENCE TO: | | | | | |
| Loren D. ALBIN | | | SIGNATURE | | |
| MUETING, RAASCH & GEBHARDT, P.A. | | | Loren D. ALBIN | | |
| P.O Box 581415 | | | NAME | | |
| Minneapolis, Minnesota 55458-1415 | | | 37,763 | | |
| United States of America | | | REGISTRATION NUMBER | | |

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): PAREKH et al.

Group Art Unit: Unknown

Serial No.: 10/522,428

Examiner: Unknown

10/522428

Filed: January 25, 2005

Docket No.: 287.0006 0101

Confirmation No.: 8266

Title: COATING COMPOSITION FOR METAL SUBSTRATES

Mail Stop Missing Parts
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

We are transmitting the following documents along with this Transmittal Sheet (which is submitted in triplicate):

- ☒ An itemized return postcard.
☒ A Petition for Extension of Time for 2 month(s).
☒ An Information Disclosure Statement (2 pgs); 1449 forms (1pg); and copies of 8 documents cited on the 1449 forms, and a copy of an International Search Report.
 A request for continued examination (RCE) and a check in the amount of \$, for the required filing fee.
☒ Please charge Deposit Account No. 13-4895 in the amount of \$450, for extension of time fee and in the amount of \$130.00 for missing parts surcharge.
☒ Other: copy of Notice to File Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) (2 pages), Declaration (12 pgs total, (3 documents, 4 pgs each)), and Election Under 37 C.F.R. §§3.71, Revocation, Power of Attorney and Certificate under §3.73(b) (8 pgs total, including Assignment (6 pages))
 Amendment ☐ No Additional fee is required. ☐ The fee has been calculated as shown:

| Fee Calculation for Claims Pending After Amendment | | | | | |
|---|------------------------------------|-----------------------------|-----------------------------------|---------------------------|--------------------------|
| | Pending Claims after Amendment (1) | Claims Paid for Earlier (2) | Number of Additional Claims (1-2) | Cost per Additional Claim | Additional Fees Required |
| Total Claims | | | | x \$50 = | |
| Independent Claims | | | | x \$200 = | |
| One or More New Multiple Dependent Claims Presented? If Yes, Add \$360 Here → | | | | | |
| Total Additional Claim Fees Required | | | | | |

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers and please charge any additional fees or credit overpayment to Deposit Account No. 13-4895. Triplicate copies of this sheet are enclosed.

MUETING, RAASCH & GEBHARDT, P.A.

Customer Number: 26813

By: 

Loren D. Albin

Reg. No.: 37,763

Direct Dial: 612-305-1225

Facsimile: 612-305-1228

10/31/2005 ATRAN1 00000114 134895 10522428

01 FC:1617 130.00 DA

CERTIFICATE UNDER 37 CFR §1.10:

"Express Mail" mailing label number: EV405491948US

Date of Deposit: 24 October 2005

I hereby certify that the Transmittal Letter and the paper(s) and/or fee(s), as described hereinabove, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and is addressed to: Mail Stop Missing Parts Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By: 

Name: JACQUELYN K. TOIBURG

(LARGE ENTITY TRANSMITTAL UNDER RULE 1.10)